

FRAUD AWARENESS & INVESTOR PROTECTION NOTICE

Issued in the Interest of Investors | Northeast Broking Services Ltd. | May 2025

1. ABOUT NORTHEAST BROKING SERVICES LTD.

Northeast Broking Services Ltd. (NEBSL), incorporated in 1995, is one of the largest and most trusted broking companies headquartered in Hyderabad, Telangana. With over 30 years of experience in Indian financial markets, NEBSL is a premier member of the National Stock Exchange of India (NSE), Bombay Stock Exchange (BSE), Multi Commodity Exchange (MCX), and NCDEX. NEBSL is also registered as a Depository Participant (DP) with both NSDL and CDSL, providing comprehensive broking and demat services to clients across Telangana, Andhra Pradesh, Karnataka, Kerala, Maharashtra, Tamil Nadu, and rapidly expanding across India.

30+ Years In Operation Since 1995	NSE BSE MCX NCDEX Exchange Memberships	NSDL CDSL Depository Participant	Pan-India Network 6 States & Growing
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2. IMPORTANT NOTICE TO ALL CLIENTS & INVESTORS

Guaranteeing returns in the stock market or securities market is strictly prohibited by law under SEBI regulations. NEBSL is committed to protecting your investments and bringing the following critical points to your attention:

- a) NEBSL has NOT authorised any individual, entity, or third party to collect funds or solicit investments on its behalf.**
- b) BEWARE** of impersonators misusing the Northeast Broking Services name, logo, or SEBI registration number to operate fraudulent investment schemes.
- c) NEVER** transfer money to personal bank accounts, UPI IDs, or any channel other than our official registered platform.
- d) Any promise of guaranteed or risk-free returns in the securities market is ILLEGAL and should be reported immediately.**

3. HOW FRAUDSTERS OPERATE - KNOW THE WARNING SIGNS

In today's digital environment, fraudsters are increasingly sophisticated and often misuse the names and credentials of reputed broking firms to deceive investors. The following are the most common methods used to defraud investors in the securities market:

3.1 Impersonation & Fake Credentials

Fraudsters impersonate NEBSL employees, analysts, or authorised persons using fake identity cards, forged SEBI certificates, and fabricated screenshots of trading terminals. They typically approach investors through social media platforms, WhatsApp, or unsolicited phone calls, using professional-sounding titles such as 'Senior Research Analyst' or 'Portfolio Manager'.

3.2 Guaranteed Returns & Risk-Free Profit Schemes

Scammers lure investors with promises of guaranteed high returns - often claiming 20%, 40%, or even 100% profits over short periods - using terms such as 'upper circuit stocks', 'insider block deals', 'guaranteed IPO allotment', or other specially named investment schemes. No SEBI-registered entity is legally permitted to guarantee returns. Any such promise is a clear indication of fraud.

3.3 Fake Mobile Applications

Fraudsters design mobile applications that closely resemble legitimate brokerage platforms and distribute APK download links via WhatsApp, Telegram, or SMS. These applications are designed to steal your login credentials, personal data, and funds. The official NEBSL trading app - NBSL Trade - is available exclusively on the Google Play Store and Apple App Store.

3.4 Phishing Websites

Fake websites are created with domain names similar to www.northeastltd.com to deceive investors into entering their login credentials, PAN, Aadhaar number, bank account details, and OTPs. Always verify that you are on our official website, confirm the presence of 'https://' in the URL, and look for a padlock symbol in your browser's address bar before entering any personal information.

3.5 Fraudulent WhatsApp / Telegram Groups & Channels

Fake investment groups and broadcast channels are operated in the name of NEBSL, offering free stock tips, sure-shot trading calls, or VIP stock picks. Administrators of such groups may initially display fabricated profit screenshots to build false trust before soliciting money transfers. NEBSL does not operate any WhatsApp groups, Telegram channels, or social media investment communities.

3.6 Unsolicited Investment Advice via SMS & Social Media

Fraudsters send bulk SMS messages or publish social media posts recommending specific stocks often low-value penny stock with claims of extraordinary returns. These communications are designed either to artificially manipulate stock prices through 'pump and dump' schemes or to divert investor funds into fraudulent accounts.

4. RED FLAGS - DO NOT PROCEED IF YOU ENCOUNTER THESE

If you come across any of the following situations, stop immediately, do not make any payment, and verify directly with NEBSL through our official contact channels before taking any further action:

- Any person or entity promising guaranteed or risk-free returns in the stock market.
- Requests to transfer funds to a personal bank account, UPI ID, or digital wallet.
- Pressure to invest immediately due to a 'limited time offer' or 'exclusive opportunity'.
- Anyone requesting your OTP, trading password, PAN card, Aadhaar number, or bank account details over phone, email, or messaging platforms.
- Investment advice, tips, or calls from WhatsApp or Telegram groups claiming to represent NEBSL.
- APK files or app download links sent through SMS, WhatsApp, or any messaging platform.
- Websites or email addresses using domain names that resemble but are not exactly www.northeastltd.com or @northeastltd.com.
- Individuals claiming to be NEBSL employees who ask for upfront fees, commissions, or security deposits.
- Offer of 'guaranteed IPO allotment' or 'insider block deal access' in exchange for payment.

5. OUR OFFICIAL CHANNELS - ALWAYS VERIFY BEFORE YOU INVEST

Northeast Broking Services Ltd. communicates with its clients exclusively through the following verified and official channels. We urge all investors to use only these channels when interacting with us or making any investment decision:

Channel	Official Details
Official Website	www.northeastltd.com
Email Address	customercare@northeastltd.com
Phone	040-69072200
Registered Office	#8-2-120/112/88 & 89/7/S1, II Floor, Aparna Crest, Road No. 2, Banjara Hills, Hyderabad – 500034
Official Trading App	NBSL Trade - Available on Google Play Store & Apple App Store only
SEBI Registration	INZ000164233 - Verifiable at www.sebi.gov.in
Grievance / SCORES	scores.sebi.gov.in - Use for any unresolved complaint

6. INVESTOR SAFETY GUIDELINES

We strongly urge all clients and investors to adopt the following best practices to protect their investments and personal data from fraud:

- Register your current email address and mobile number with NEBSL and keep them updated at all times. All-important alerts, contract notes, and transaction confirmations will be sent exclusively to your registered contact details.
- Download the NBSL Trade app only from the Google Play Store or Apple App Store. Never install trading applications from links received via SMS, WhatsApp, or email.
- Regularly review your Demat account statement through the NSDL or CDSL portals and report any unauthorised transactions immediately.
- Never share your trading account login credentials, OTP, or passwords with any person, including individuals claiming to be NEBSL staff or SEBI officials.
- Before investing with any broker or investment advisor, always verify their SEBI registration number at www.sebi.gov.in.
- Never pay any 'tax', 'processing fee', or 'withdrawal charge' to receive profits from your investments - this is a common and well-documented fraud tactic.
- Exercise caution with social media advertisements, YouTube channels, or Telegram groups offering free stock tips or guaranteed market returns.
- If an investment opportunity appears too good to be true it almost certainly is. Always verify details directly with NEBSL before proceeding.

7. REPORT FRAUD - ACT WITHOUT DELAY

If you believe you have been approached by, or have become a victim of, a fraudulent scheme misusing the name of Northeast Broking Services Ltd., please report it immediately through the following channels. Prompt reporting helps protect you and other investors:

Report to NEBSL	Report to Authorities
Email: itsupport@northeastltd.com	Cyber Crime Portal: www.cybercrime.gov.in
Phone: 040-69072200	National Helpline: 1930
Web: www.northeastltd.com	SEBI SCORES: scores.sebi.gov.in
Office: #8-2-120/112/88 & 89/7/S1, II Floor, Aparna Crest, Road No. 2, Banjara Hills, Hyderabad – 500034	SEBI Helpline: 1800 266 7575 (Toll Free)
	NSE Investor Cell: 022-26598190
	BSE Investor Cell: 022-22728060

8. SEBI MANDATORY INVESTOR AWARENESS DISCLOSURES

As a SEBI-registered stock broker bearing Registration No. INZ000164233, Northeast Broking Services Ltd. is required to bring the following regulatory disclosures to the notice of all clients and investors:

- Investments in securities markets are subject to market risks. Please read all related documents carefully before investing.
- Stock brokers can accept securities as margin from clients only by way of pledge in the depository system with effect from September 1, 2020.
- Clients are requested to update their mobile number and email ID with NEBSL and with the depository (NSDL/CDSL) to receive OTPs directly for pledge creation and to receive all debit and transaction alerts on the same day.
- No need to issue cheques while subscribing to an IPO. Simply write your bank account number and sign the application form to authorise your bank to make payment upon allotment. No worries for refund, as the money remain in your account.
- Investors are advised not to act on any investment tips received via SMS or social media from unknown sources promising huge profits. These are typically fraudulent schemes designed to manipulate markets or steal money.
- For any unresolved grievances or complaints, investors may register a complaint on the SEBI SCORES portal at scores.sebi.gov.in.
- Procedure to file a complaint on SEBI SCORES: Register on the SCORES portal with your Name, PAN, Address, Mobile Number, and Email ID for effective communication and speedy redressal of grievances.

Useful Regulatory & Reference Links

SEBI: www.sebi.gov.in | NSE: www.nseindia.com | BSE: www.bseindia.com
MCX: www.mcxindia.com | NSDL: www.nsdl.co.in | CDSL: www.cdslindia.com
Cyber Crime Reporting: www.cybercrime.gov.in | SEBI SCORES: scores.sebi.gov.in