

## **Annexure C**

## **Investor Complaints Data of Depository Participants Operations:**

#### Data for the month ending: July'23

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Fending for more than 3 months	
1	2	3	4	5	6	7	7	8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil		Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil		Nil
3	Depositories	Nil	Nil	Nil	Nil	Nil		Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil		Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil		Nil

# Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2023	Nil	Nil	Nil	Nil
2	May-2023	Nil	Nil	Nil	Nil
3	June-2023	Nil	Nil	Nil	Nil
4	July-2023	Nil	01	01	Nil
	Grand Total	Nil	Nil	Nil	Nil

• Includes complaints of previous months resolved in the current month, ifany.

\*\*Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous	during the	during the	the end of the
		year	year	year	year
1	2017-18	Nil	Nil	Nil	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	01	01	Nil
6	2022-23	Nil	Nil	Nil	Nil
7	2023-24	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil