



Northeast Broking Services Limited

Annexure – B

Investors Complaint Data of Stock Exchange Operations:

Data for the month ending 30-09-2022

S.No	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution Time
						Pending for less than 3 months	pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	NA
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

Trend of monthly disposal of complaints

FY - 2022-23

S.No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr/2022	Nil	Nil	Nil	Nil
2	May/2022	Nil	Nil	Nil	Nil
3	Jun/2022	Nil	Nil	Nil	Nil
4	July/2022	Nil	Nil	Nil	Nil
5	Aug/2022	Nil	Nil	Nil	Nil
6	Sept/2022	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

*Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of Annual Disposal of Complaints

S.No	Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
	Grand Total	0	0	0	0