



# NORTHEAST BROKING SERVICES LTD.

Member : NSE, BSE, MCX, NCDEX, DP, NSDL, CJSL  
 Regd. & Admn. Off : 5-9-22, 301, 3rd Floor, My Home Sarovar Plaza,  
 Secretariat Road, Saifabad, Hyderabad - 500 063.  
 Tel : 91-40-66918888, Fax : 91-40-23210895  
 E-mail : north@netlinx.org, www.northeastltd.com  
**CIN : U67100TG1995PLC020280**

## Annexure – B

### Investors Complaint Data :

Data for the month ending 31-03-2022

| S.No | Received From           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                |
|------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|
|      |                         |                                     |                           |               |           | Pending for less than 3 months    | pending for more than 3 months |
| 1    | 2                       | 3                                   | 4                         | 5             | 6         | 7                                 |                                |
| 1    | Directly from Investors | Nil                                 | Nil                       | Nil           | Nil       | Nil                               | Nil                            |
| 2    | SEBI (SCORES)           | Nil                                 | 1                         | Nil           | 1         | Nil                               | Nil                            |
| 3    | Stock Exchanges         | Nil                                 | Nil                       | Nil           | Nil       | Nil                               | Nil                            |
| 4    | Other Sources (if any)  | Nil                                 | Nil                       | Nil           | Nil       | Nil                               | Nil                            |
| 5    | Grand Total             | Nil                                 | Nil                       | Nil           | Nil       | Nil                               | Nil                            |

### Trend of monthly disposal of complaints

| S.No. | Month       | Carried forward from previous month | Received | Resolved* | Pending** |
|-------|-------------|-------------------------------------|----------|-----------|-----------|
| 1     | 2           | 3                                   | 4        | 5         | 6         |
| 1     | Apr/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 2     | May/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 3     | Jun/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 4     | Jul/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 5     | Aug/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 6     | Sep/2021    | Nil                                 | 1        | 1         | Nil       |
| 7     | Oct/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 8     | Nov/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 9     | Dec/2021    | Nil                                 | Nil      | Nil       | Nil       |
| 10    | Jan/2022    | Nil                                 | Nil      | Nil       | Nil       |
| 11    | Feb/2022    | Nil                                 | Nil      | Nil       | Nil       |
| 12    | Mar/2022    | Nil                                 | Nil      | Nil       | Nil       |
|       | Grand Total |                                     |          |           |           |





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\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

\*Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

| SN | Year        | Carried forward from the previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|-------------|--|--------------------------|--------------------------|--------------------------------|
| 1  | 2017-18     | 0                                      | 0                        | 0                        | 0                              |
| 2  | 2018-19     | 0                                      | 0                        | 0                        | 0                              |
| 3  | 2019-20     | 0                                      | 0                        | 0                        | 0                              |
| 4  | 2020-21     | 0                                      | 0                        | 0                        | 0                              |
| 5  | 2021-22     | 0                                      | 1                        | 1                        | 0                              |
|    | Grand Total | 0                                      | 1                        | 1                        | 0                              |

