



# Northeast Broking Services Limited

## Annexure – B

### Investors Complaint Data of Stock Exchange Operations:

Data for the month ending 31-01-2023

S.No	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution Time
						Pending for less than 3 months	pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	NA
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

### Trend of monthly disposal of complaints

FY - 2022-23

S.No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr/2022	Nil	Nil	Nil	Nil
2	May/2022	Nil	Nil	Nil	Nil
3	Jun/2022	Nil	Nil	Nil	Nil
4	July/2022	Nil	Nil	Nil	Nil
5	Aug/2022	Nil	Nil	Nil	Nil
6	Sept/2022	Nil	Nil	Nil	Nil
7	Oct/2022	Nil	Nil	Nil	Nil
8	Nov/2022	Nil	Nil	Nil	Nil
9	Dec/2022	Nil	Nil	Nil	Nil
10	Jan/2023	Nil	Nil	Nil	Nil

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

\*Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of Annual Disposal of Complaints

S.No	Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
	Grand Total	0	0	0	0